



## EXPRESS MAIL CERTIFICATE

"Express Mail" Label No. : EV 316 335 402 US

Serial No. : 10/629,146

Applicant(s) : RAWSON, James R.Y. *et al.*

Filing Date : July 29, 2003

Title: : Apparatus and Method For Removing Contaminants  
From Dry Cleaning Solvent

Examiner : Not Yet Assigned

Group Art Unit : 1746

Type of Document(s) : Express Mail Certificate;  
Transmittal Form;  
Power of Attorney (2 pgs.)  
Statement Under 37 CFR 3.73(b);  
Copy of Assignment (2 pgs.)  
(previously filed 11/4/2003); and  
Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

Carol McCabe (signature)  
Carol McCabe

*Date Mailed: November 21, 2003*

Please type a plus sign (+) inside this box →

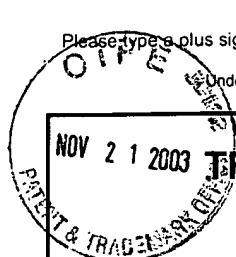
+

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/629,146
		Filing Date	July 29, 2003
		First Named Inventor	RAWSON, James R. Y. et al.
		Group Art Unit	1746
		Examiner Name	Not Yet Assigned
Total Number of Items in This Submission (including Transmittal Form)	6	Attorney Docket Number	RD-29,279 ( 40230-286225)

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached Check _____ <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers <b>Copy of previously filed (11/4/2003)</b> (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Express Mail Certificate – EV 316 335 402 US Transmittal Form Power of Attorney Statement Under 37 CFR 3.73(b) Return Postcard
Remarks		

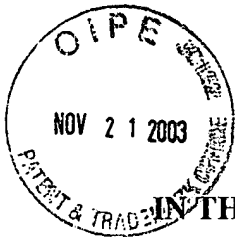
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Samuel B. Rollins, Esq., Kilpatrick Stockton LLP Reg. No. 52,180
Signature	<i>Samuel B. Rollins</i>
Date	November 21, 2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service s first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: _____			
Typed or printed name	SENT VIA EXPRESS MAIL		
Signature		Date	

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Express Mail Cert. No. EV 316 335 402 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : James R.Y. Rawson, et al  
Serial No. : 10/629,146  
Filed : July 29, 2003  
For : Apparatus and Method For Removing Contaminants  
From Dry Cleaning Solvent

Commissioner for Patents  
P O Box 1450  
Alexandria, VA 22313-1450

**POWER OF ATTORNEY**

Sir:

The undersigned, assignee of the entire interest of James R.Y. Rawson and David Najewicz, in and to an application for U.S. Letters Patent filed herewith, by an assignment document being recorded contemporaneously herewith at the U.S. Patent and Trademark Office (a copy attached hereto), hereby appoints Charles W. Calkins, U.S. Registration No. 31,814; John M. Harrington, U.S. Registration No. 25,592; Cynthia B. Rothschild, U.S. Registration No. 47,040; Samuel B. Rollins, U.S. Registration No. 52,180; Christian G. Cabou, U.S. Registration No. 35,467; Jean K. Testa, U.S. Registration No. 39,396; Donald S. Ingraham, U.S. Registration No. 33,714; Patrick K. Patnode, U.S. Registration No. 40,121; Henry J. Policinski, U.S. Registration No. 26,621; James W. Mitchell, U.S. Registration No. 25,602; and Catherine J. Winter, U.S. Registration No. 38,364, as my attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

Furthermore, in accordance with 37 CFR 3.73(b), the undersigned hereby states that the documentary evidence of a chain of title from the original owner to the assignee, i.e., assignment document referenced above, has been reviewed and the undersigned certifies that, to the best of assignee's knowledge and belief, title is in assignee who seeks to prosecute this application.

**PLEASE ADDRESS ALL COMMUNICATIONS AND TELEPHONE CALLS TO:**

Charles W. Calkins, Kilpatrick Stockton LLP, 1001 West Fourth Street, Winston-Salem, NC  
27101-2400, telephone number (336) 607-7315.

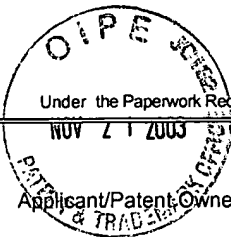
GENERAL ELECTRIC COMPANY

Date: 11/10/03

By John R. Calkins

Title Patent Attorney

Docket No. RD-29,279



Express Mail Cert. No. EV 316 335 402 US

PTO/SB/96 (04-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: James R.Y. Rawson et al.

Application No./Patent No.: 10/629,146

Filed/Issue Date: July 29, 2003

Entitled: Apparatus and Method for Removing Contaminants from Dry Cleaning

General Electric Company, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

11/10/03  
\_\_\_\_\_  
Date  
(518) 387-5115  
\_\_\_\_\_  
Telephone Number

Jean K. Testa  
\_\_\_\_\_  
Signature  
Jean K. Testa  
\_\_\_\_\_  
Typed or printed name  
Patent Attorney  
\_\_\_\_\_  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## ASSIGNMENT OF APPLICATION

Docket Number (Optional)

RD-29,279

Whereas, I/We, James Rulon Young Rawson of 60 Timberwick Drive, Clifton Park, NY 12065, hereafter referred to as applicant, have invented certain new and useful improvements in Apparatus and Method For Removing Contaminants from Dry Cleaning Solvent

☒ for which an application for a United States Patent was filed on July 29, 2003,

Application Number 10/629,146.

☐ for which an application for a United States Patent was executed on even date, and

Whereas, General Electric Company of Schenectady, New York herein referred to "assignee" whose mailing address is 1 River Road, Schenectady, New York, 12345, U.S.A. is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I/We hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 27 day of August, 2003, at Niskayuna, NY.

James Rulon Young Rawson  
(Signature)

State of NEW YORK ) SS:

County of SCHENECTADY )

Before me personally appeared said JAMES RULON YOUNG RAWSON

and acknowledged the foregoing instrument to be his free act and deed this 27

day of AUGUST, 2003.

Seal

James L. Luffman  
(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

\* ☒ Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

JAMES L. LUFFMAN

Notary Public in the State of New York

Qualified in Schenectady County

Commission Expires August 15, 2006

01LU4941016

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## ASSIGNMENT OF APPLICATION

Docket Number (Optional)

RD-29,279

Whereas, I/We, David Najewicz of 12619 Hillcross Parkway, Prospect, KY, 40059, hereafter referred to as applicant, have  
invented certain new and useful improvements in Apparatus and Method for Removing Contaminants from Dry Cleaning  
Solvent

☒ for which an application for a United States Patent was filed on July 29, 2003,  
Application Number 10/629,146.

☐ for which an application for a United States Patent was executed on even date, and

Whereas, General Electric Company of Schenectady, New York herein referred to "assignee" whose mailing address is  
1 River Road, Schenectady, New York, 12345, U.S.A. is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of One dollars (\$1.00), the receipt whereof is acknowledged,  
and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign and  
transfer unto said assignee the full and exclusive right to the said invention in the United States and the  
entire right, title and interest in and to any and all Patents which may be granted therefor in the United  
States, I/We hereby authorize and request the Commissioner of Patents and Trademarks to issue said  
United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole  
use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for  
which said Patent may be granted, as fully and entirely as the same would have been held by me had this  
assignment and sale not been made.

Executed this 27 day of August, 2003, at Louisville, KY

David Joseph Najewicz  
(Signature)

State of Kentucky ss:  
County of Jefferson

Before me personally appeared said David Joseph Najewicz  
and acknowledged the foregoing instrument to be his free act and deed this 27  
day of August, 2003

Deborah Knight  
(Notary Public)

Seal

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

\* ☒ Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.